A canonical thickening of Q and the dynamics of continued fractions

Carlo Carminati Dipartimento di Matematica Università di Pisa

May 2010

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Joint work with Giulio Tiozzo (Harvard PhD student) arXiv:1004.3790v1 [math.DS]



Credits

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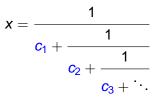
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C.C., S.Marmi, A.Profeti, G.Tiozzo: The entropy of *alpha*-contined fractions: numerical results arXiv:0912.2379v1 [math.DS]

If $x \in]0, 1]$ we can write

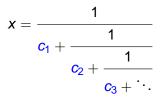


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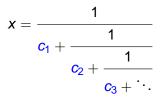
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Features of RCF expansion:

the expansion is not unique for some special values

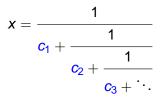
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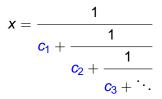
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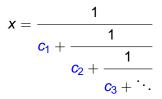
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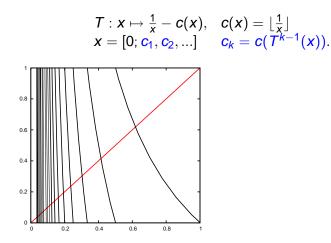
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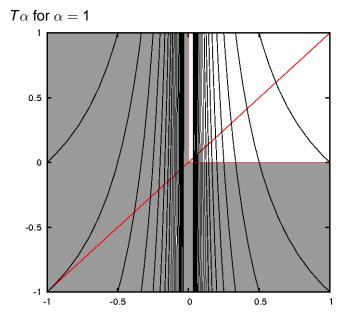
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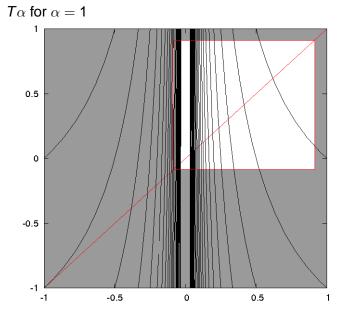
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$$\blacktriangleright h(T) = \frac{\pi^2}{6\log 2}$$



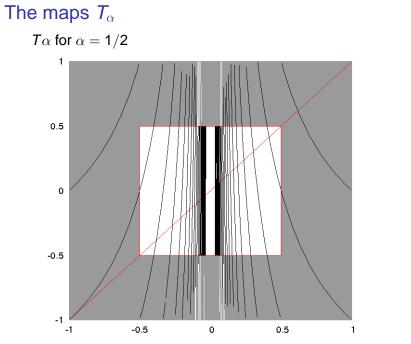
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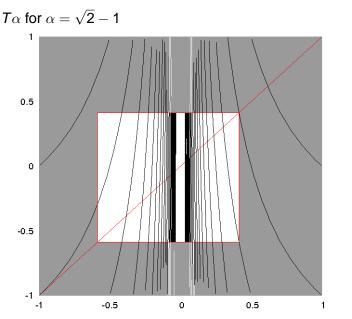


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$$T\alpha \text{ for } \alpha = (\sqrt{5} - 1)/2$$

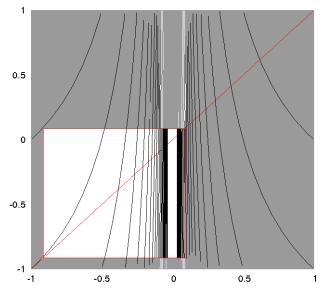
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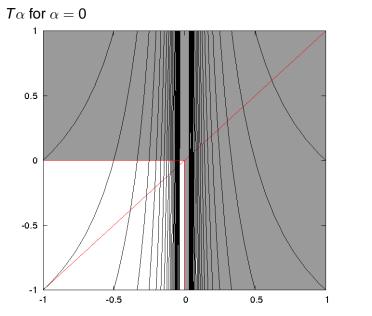


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Other features

Many arithmetical properties of RCF expansions transfer also to α -expansions:

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• The entropy $h(T_{\alpha})$ can be computed using Rohlin formula:

$$h(T_{\alpha}) = \int_{\alpha-1}^{\alpha} \log |T'_{\alpha}(x)| d\mu_{\alpha}(x);$$

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The maps T_{α} ($\alpha > 0$) have the following properties

- *T_α* has an invariant probability measure μ_α(x) := ρ(x)dx with ρ of bounded variation;
- T_{α} is an exact map, hence it is ergodic;
- For almost every $x \in [0, 1]$:

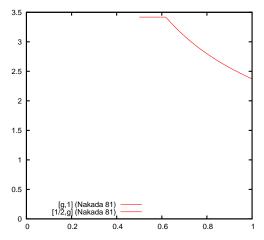
$$\lim_{n\to+\infty}\frac{2}{n}\log q_n=h(T_\alpha)$$

where p_n/q_n is the n-th convergent of the α -expansion of x and $h(T_{\alpha})$ is the entropy of T_{α} .

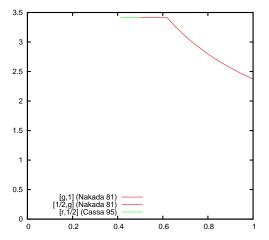
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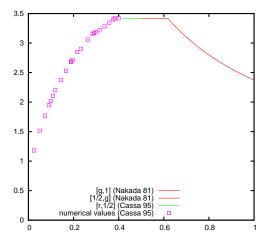
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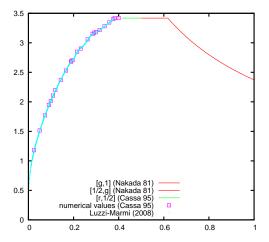
H. Nakada, *Metrical theory for a class of continued fraction transformations and their natural extensions*, Tokyo J. Math. 4 (1981), 399-426



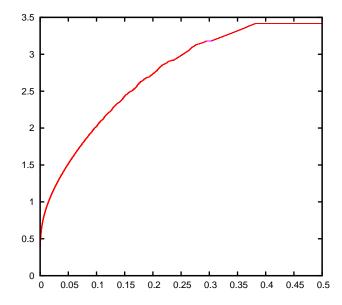
A. Cassa: *Dinamiche caotiche e misure invarianti* (1995) Tesi di Laurea (analytical results).



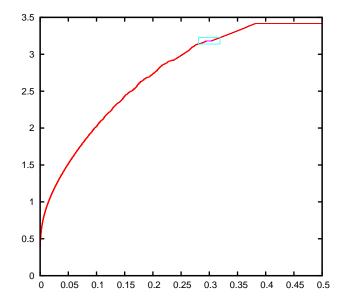
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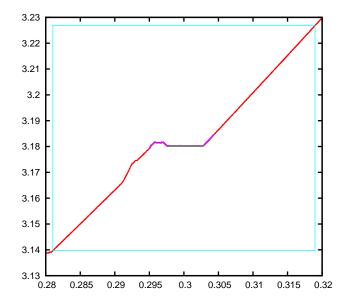
L. Luzzi, S. Marmi, *On the entropy of Japanese continued fractions*, Discrete and continuous dynamical systems, 20 (2008), 673-711.

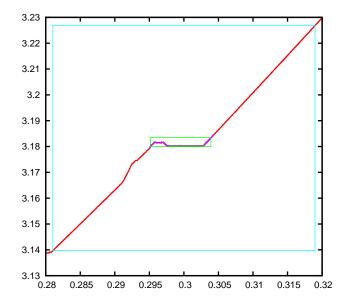


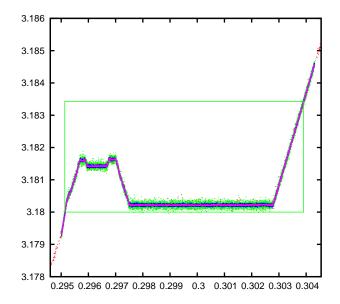
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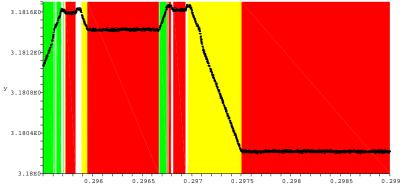


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Is the entropy really not monotone?

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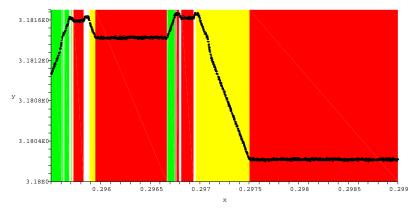
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[NN] H. Nakada, R. Natsui, *The non-monotonicity of the entropy of* α *-continued fraction transformations*, Nonlinearity **21** (2008), 1207-1225

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Some interesting issues

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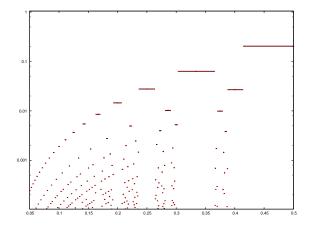
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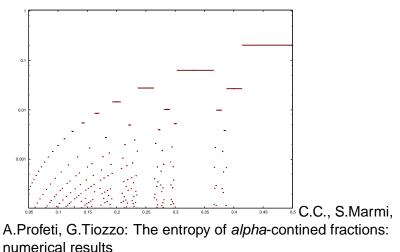
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- the matching conditions define a collection of open intervals (called *matching intervals*);
- the entropy is thus a non-monotonic function;
- conjecture: the union of all matching intervals is a dense, open subset of [0, 1] with full Lebesgue measure.

Intervals of monotonicity of $\alpha \mapsto h(T_{\alpha})$



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Intervals of monotonicity of $\alpha \mapsto h(T_{\alpha})$



arXiv:0912.2379v1 [math.DS]

Pseudocenters

FACT1: if $0 < \alpha < \beta < 1$ then there exists a unique rational value $r \in (\alpha, \beta)$ such that

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 $\operatorname{den}(r) < \operatorname{den}(r') \text{ for all } r' \in \mathbb{Q} \cap (\alpha, \beta), r' \neq r.$

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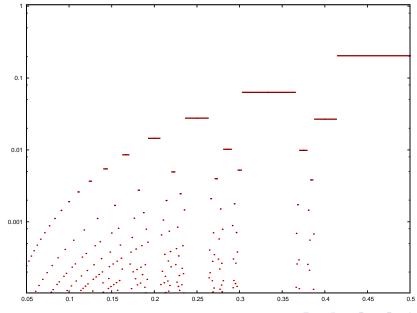
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The value *r* will be called the *pseudocenter* of the interval (a, b).

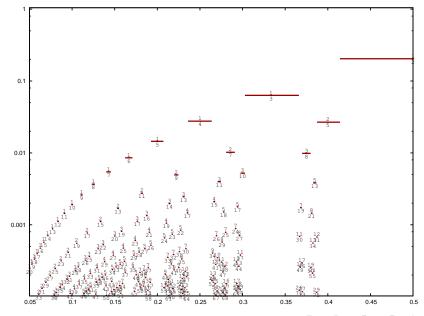
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Intervals of monotonicity of $\alpha \mapsto h(T_{\alpha})$, reloaded



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Starting from a string of positive integers *A* we can generate the C.F. expansion of period *A*, which is in fact a quadratic surd:

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The interval $I_a := (\alpha^-, \alpha^+)$ will be called the *quadratic interval* generated by $a \in \mathbb{Q} \cap (0, 1)$.

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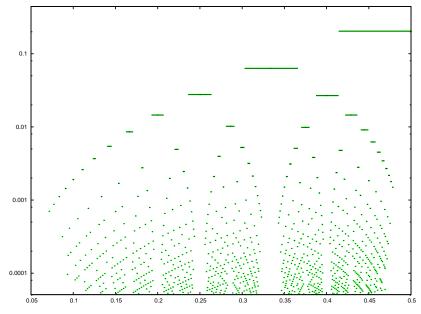
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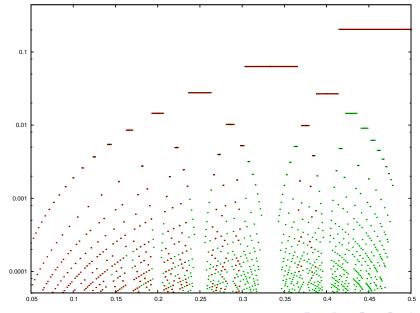
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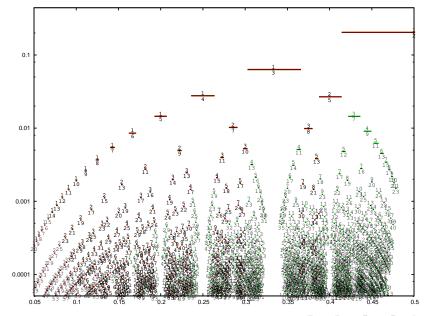


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and equality holds iff a = a'. In particular, distinct maximal intervals do not intersect.

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The exact same definition also gives a total ordering on the space of infinite strings.

If S and T have the same length (finite or infinite),

$$S < T \iff [0; S] < [0; T]$$

i.e. this order is just the pull back the order structure on \mathbb{R} , via identification of a string with the value of the corresponding c.f.

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We now give an explicit characterization of the c.f. expansion of those rationals which are pseudocenters of maximal intervals:

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$$\phi_N(old C_{N-1}) \subset old E_N \subset old C_N$$
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This is enough to carry over the strategy of Nakada and Natsui.

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This is enough to carry over the strategy of Nakada and Natsui. **Remark:** each maximal interval is almost completly covered by the matching ing intervals of NN (what is not covered is a closed countable set)

A quick account of NN strategy (I)

FACT: for "typical" $x \in (\alpha - 1, \alpha)$



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$$h(T_{\alpha}) = 2 \lim_{n \to \infty} \frac{1}{n} \log q_n$$

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Here p_n/q_n denotes the $n^{th} \alpha$ -convergent of x, p'_m/q'_m denotes the $m^{th} \alpha'$ -convergent of x - 1, and N and M are such that condition $(N, M)_{alg}$ holds on I_a .

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 $\lim \frac{m_k}{n_k} =$

For "typical" $x \in (\alpha', \alpha)$ we get $(i) \Rightarrow \lim_{k \to +\infty} \frac{k}{n_k} = \mu_{\alpha}((\alpha', \alpha))$ $(i') \Rightarrow \lim_{k \to +\infty} \frac{k}{m_{\nu}} = \mu_{\alpha'}((\alpha' - 1, \alpha - 1))$ $h(T_{\alpha}) = 2 \lim \frac{1}{n_{\mu}} \log q_{n_{k}} = 2 \lim \frac{m_{k}}{n_{\mu}} \frac{1}{m_{\mu}} \log q'_{m_{k}} = \lim \frac{m_{k}}{n_{\mu}} h(T_{\alpha'})$

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$$\lim \frac{m_k}{n_k} = \lim (1 + \frac{m_k - n_k}{n_k}) =$$

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$$\lim \frac{m_k}{n_k} = \lim (1 + \frac{m_k - n_k}{n_k}) = 1 + (M - N) \lim \frac{\kappa}{n_k} =$$

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"Proof"

For "typical" $x \in (\alpha', \alpha)$ we get $(i) \Rightarrow \lim_{k \to +\infty} \frac{k}{n_k} = \mu_{\alpha}((\alpha', \alpha))$ $(i') \Rightarrow \lim_{k \to +\infty} \frac{k}{m_{\nu}} = \mu_{\alpha'}((\alpha' - 1, \alpha - 1))$ $h(T_{\alpha}) = 2 \lim \frac{1}{n_k} \log q_{n_k} = 2 \lim \frac{m_k}{n_k} \frac{1}{m_k} \log q'_{m_k} = \lim \frac{m_k}{n_k} h(T_{\alpha'})$ $\lim \frac{m_k}{n_k} = \lim (1 + \frac{m_k - n_k}{n_k}) = 1 + (M - N) \lim \frac{k}{n_k} = 1 + (M - N) \mu_{\alpha}((\alpha', \alpha))$

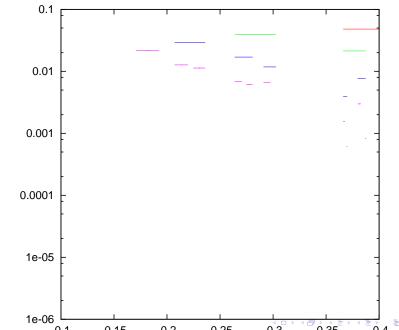
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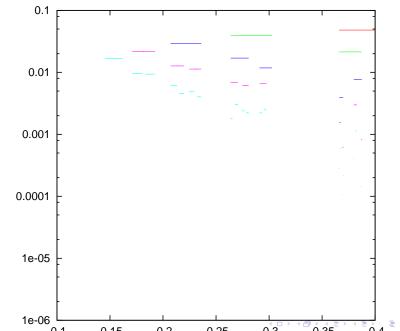
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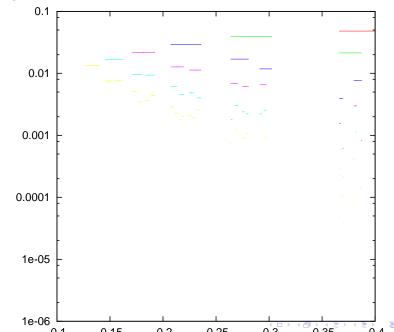
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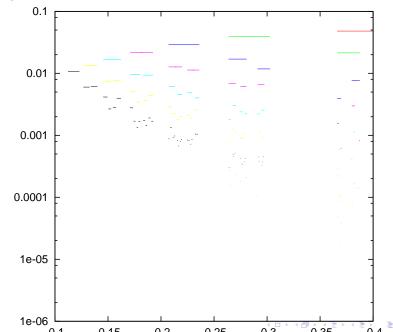
Taking off quadratic intervals (via bisection) 0.1 0.01 0.001 0.0001 1e-05 1e-06 1 0.05 A 4 0 4 5 <u>^ ^</u>

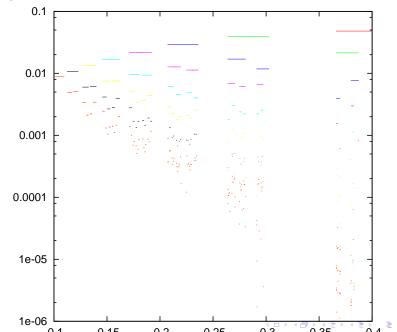
Taking off quadratic intervals (via bisection) 0.1 0.01 С 0.001 0.0 0.0001 0.0 1e-05 1e 1e-06 Sale A 25 0.05 A 4 0 4 5 <u>^ ^</u>

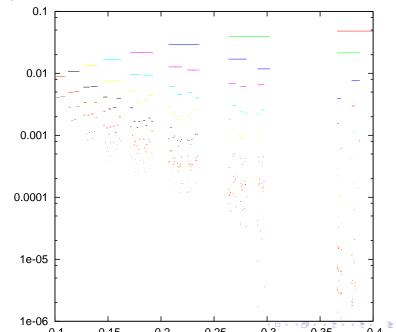


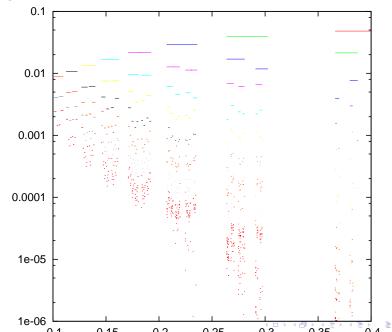


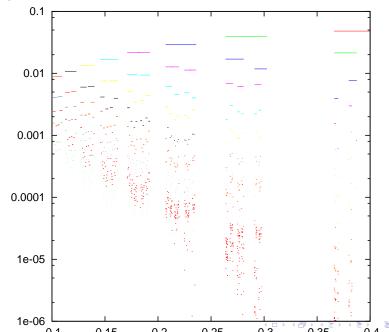


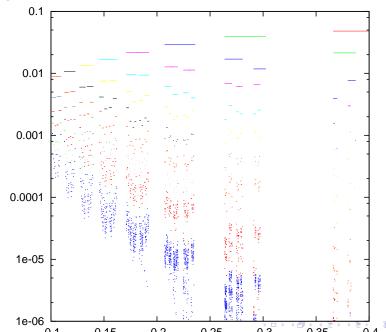




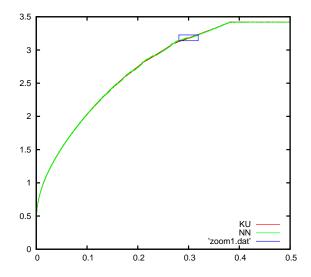






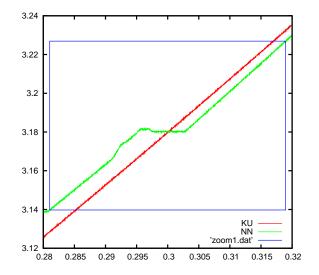


The effect of flipping: entropy



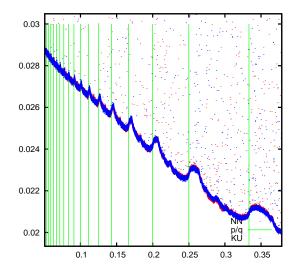
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The effect of flipping: entropy



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The effect of flipping: variance



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Is the entropy constant on intervals of the type (α_n^-, α_n^+) ?



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Yes [NN]

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Yes [NN]

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is the entropy linear on (β_n^-, β_n^+) ?

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Probably not [CMPT].

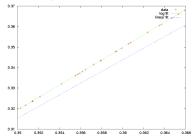
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Probably not [CMPT].

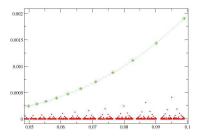


What about the self similar structure? how is it generated?

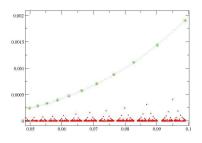
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What about the self similar structure? how is it generated?

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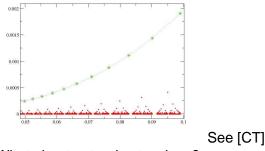
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See [CT]

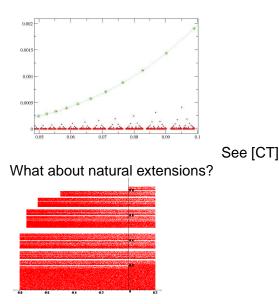
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What about the self similar structure? how is it generated?

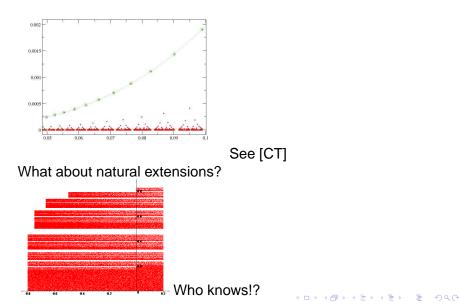


What about natural extensions?

What about the self similar structure? how is it generated?



What about the self similar structure? how is it generated?



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