The model of J. C. Yoccoz-H. Birkeland for the populational evolution of the Microtus Epiroticus.

> Maria José Pacifico (UFRJ) joint with J. J. Nieto and J. L. Vieitez

> > Corinaldo, 2010

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How to understand??

Microtus Epiroticus



Figure: The rodent.

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Microtus Praire



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The habitat



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Following these rules, the initial number ${\cal N}$ of individuals can be estimated.

Distribution of the population by year



Figure: Individuals by year.

The model proposed to govern the evolution of this population is given by the integral equation

$$N(t) = \int_{A_0}^{A_1} N(t-a)m(N(t-a))m_{\rho}(t-a)S(a)da.$$
 (1)

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★ the seasonal factor $m_{\rho}(t)$ varies sharply from 0 in the winter to 1 from spring through fall. Thus, we take $\rho = 0.30$ and adopt to $m_{\rho}(t)$

$$m_{\rho}(t) = \begin{cases} 0 & \text{if } 0 \le t \mod(1) < \rho \\ 1 & \text{if } \rho \le t \mod(1) < 1 \end{cases}$$

★ the annual rate of individual reproduction m(N) is very high when N(t) is small: order $m_0 \succeq 30$ and m(N(t)) decays abruptly when N(t) grows. Following [Ar], we define m(N) by

$$m(N) = \begin{cases} m_0 & \text{if } N \le 1 \\ m_0 N^{-\gamma} & \text{if } N > 1 \end{cases}; \quad \gamma > 1.$$

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$$S(a) = \begin{cases} 1 - \frac{a}{A_1} & \text{if } 0 \le a \le A_1 \\ 0 & \text{if } a \in I\!\!R \setminus [0, A_1] \end{cases}$$

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$$S(j) = \begin{cases} 1 - \frac{j}{2p+1}, & \text{for } j = 0, 1, 2 \dots, 2p \\ 0 & \text{for } j < 0 \text{ or } j > 2p \end{cases}$$

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where $A_1p = 2p$.
Evolution law

Given an initial vector $(N_0, N_1, N_2, \dots, N_{2p-1}, N_{2p}) \in \mathbb{R}^{2p+1}$, the evolution of $N(t) = N_t$, $t \in \mathbb{Z}$, is governed by

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$$N_{t} = \sum_{h=A_{0} p}^{A_{1} p-1} N_{t-h} m(N_{t-h}) m_{\rho}(t-h) S(h) \Delta h \qquad (2)$$
$$= \frac{1}{p} \sum_{h=A_{0} p}^{A_{1} p-1} N_{t-h} m(N_{t-h}) m_{\rho}(t-h) S(h) .$$

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K Recursively we can compute N_j for all $j \ge 0$.

Equation (2) defines a discrete dynamical system

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The population does not extinguish.
The map T has an attractor Λ.
There is a fixed point p ∈ Λ.

Equation (2) defines a discrete dynamical system

$$T : I\!\!R^{2p+1} \to I\!\!R^{2p+1}$$
$$(N_0, N_1, \dots, N_{2p}) \mapsto T(N_0, N_1, \dots, N_{2p}) = (N_p, N_{p+1}, \dots, N_{3p})$$
$$N_t = \sum_{h=A_0 p}^{A_1 p-1} N_{t-h} m(N_{t-h}) m_{\rho}(t-h) S(h) \Delta h.$$

Starting goals:

- (1) The population does not extinguish.
- (2) The map T has an attractor Λ .
- (3) There is a fixed point $p \in \Lambda$.
- (4) The map DT(p) is non singular.

Upper bound to T

Under certain reasonable conditions, for all $t = 1, 2, \ldots, A_0 p$, it holds

$$N_t \le N_{max} := m_0 \left(\frac{(A_1 - A_0)^2}{2A_1} \right)$$

•

When
$$A_1 = 2$$
, $A_0 = 0.18$, $m_0 = 50$, $N_{max} \approx 41.4$.

Permanence

A system T(t) is permanent if for every positive initial $T_0,$ $\exists \ \epsilon>0$ s. t. T(t) satisfies

 $\liminf_{t\geq 0} T(t) \geq \epsilon \,.$

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Permanence

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The system given by equation

$$N_{t} = \sum_{h=A_{0}p}^{A_{1}p-1} N_{t-h} m(N_{t-h}) m_{\rho}(t-h) S(h) \Delta h$$

is permanent.

Existence of a compact positive invariant

 \blacklozenge There is $t_0 > 0$ such that, for all $t \ge t_0$

$$\frac{c_0 m_0}{2} N_{max}^{1-\gamma} \leq N_t \leq N_{max}.$$

In particular, T takes the compact set

$$\mathcal{K} = \left[\frac{c_0 m_0}{2} N_{max}^{1-\gamma}, N_{max}\right]^{pA_1+1} \quad \text{on itself.}$$

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Lipschitz

Let H be the set of positive vectors

$$H = \{ N = (N_0, N_1, \dots, N_{2p}) \in \mathbb{R}^{2p+1} | \forall j : N_j > 0 \}.$$

 $T: H \rightarrow H$ is Lipschitz.

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 $T: H \rightarrow H$ is Lipschitz.

(Fixed point) There exists $p \in \mathcal{K}$ such that T(p) = p.

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Existence of an attractor Λ

 $\Lambda = \bigcap_{n \ge 0} T^n(\mathcal{K})$ is un atractor for T, and the fixed point $p \in \Lambda$.

Existence of an attractor Λ

 $\Lambda = \bigcap_{n>0} T^n(\mathcal{K})$ is un atractor for T, and the fixed point $p \in \Lambda$.

The basin of attraction $B^{s}(\Lambda)$ of Λ is simply connected.

DT(p) is non singular.

$$S(2p-1) > 0 \Longrightarrow DT(x)$$
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We interpret that as: the older generations in the vector $N = (N_0, N_1, \ldots, N_{A_1p})$ has an effective influence in the next population vector T(N), that represents the next geration of Microtus Epiroticus.

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Now comes the numerical approuch.

For the parameters studied by S. Arlot: $A_0 = 0.18$, $\rho = 0.30$, $\gamma = 8.25$:

the fixed point p of T is hyperbolic.

 A_0 : age of maturity of a female ρ : size of winter, appears in the sazonal factor m_ρ γ : appears at the sazonal factor

$$m_{\rho}(t) = \begin{cases} 0 & \text{if } 0 \le t \mod (1) < \rho \\ 1 & \text{if } \rho \le t \mod (1) < 1 \end{cases}$$

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Numerical approuch.

Assuming that the calculations made by Arlot are sufficiently precise, the eigenvalues $\lambda_i, 1 \leq i \leq 2p$, and μ of DT(p) satisfy $|\lambda_j| << 1$ for all $j = 1, \ldots, 2p$, and $\mu < 0, \ |\mu| > 1$.

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p is hyperbolic & $W^{s}(p)$ is codimension one.

The parameters $A_0 = 0.18$, $\rho = 0.30$, $\gamma = 8.25$

Arlot highlights the interest in studying the case where the parameters are $A_0 = 0.18$, $\rho = 0.30$, $\gamma = 8.25$: the numerical simulations indicate that the unstable manifold is dense in Λ and that Λ is transitive.

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The closure of $W^u(p)$



Figure: $\overline{W^u(p)}$

When $\overline{W^u(p)} = \Lambda$

If there is $q \in W^u(p)$ such that $\overline{W^u(q)} = \Lambda$

\Downarrow

Λ is topologically mixing

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 $f: K \to K$ is sensitive with respect to initial conditions if $\exists \alpha > 0$ such that, $\forall x \in K$ and \forall neighborhood U(x), $\exists y \in U(x)$ and $n \in I\!N$ such that

 ${\rm dist}(f^n(x),f^n(y))>\alpha.$

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Thus, it is not possible to predict the behavior of the evolution of the population.

Entropy.

The Kolmogorov entropy of an attractor can be considered as a measure for the rate of information loss along the attractor, or as a measure for the degree of predictability of points along the attractor given an initial data.

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The Kolmogorov entropy of an attractor can be considered as a measure for the rate of information loss along the attractor, or as a measure for the degree of predictability of points along the attractor given an initial data.

In general, a **positive entropy** is considered as a conclusive proof that the dynamical system is **chaotic**. A **zero entropy** represents a constant or a regular phenomena that can be represented by a fixed point or a **periodic attractor**.

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From the data, $K \simeq 0.37$.

Existence of a homoclinic point

Next Gol: numerical evidence of a homoclinic point for the parameters $A_0 = 0.18$, $\rho = 0.30$, $\gamma = 8.25$.

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To verify this fact we proceed as follows:

We search the fixed point whose existence was already proved.

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A Take 400 datafiles , each Q_i with points in the basin of Λ (take randon data, iterate ten thousand times by T^2 and consider only the successive images from that on).

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★★ For each file Q_i we take points $p_j \in Q_i$ minimizing dist(x, T²(x)), $x \in Q_i$.

★ Chose a certain group G of points $p_j, 0 \le j \le m$, and search in the simplex

 $S = \{b_0p_1 + b_1p_2 + \dots + b_mp_m, \sum_i b_i = 1, p_j \in Q\}$ those points minimizing dist(x, T²(x)), $x \in S$.

The chosen point

The point \hat{p} found at $\bigstar \bigstar$ above is the approximate fixed point to T^2 that we consider.

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★ As seen before, DT(p) is non singular, has a unique *negative* eigenvalue μ with $|\mu| > 1$.

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The point \hat{p} found at $\bigstar \bigstar$ above is the approximate fixed point to T^2 that we consider.

★ As seen before, DT(p) is non singular, has a unique *negative* eigenvalue μ with $|\mu| > 1$.

Thus, p is a hyperbolic periodic point with codimension 1 stable manifold.

★ Since the eigenvalue μ is negative, the iterates $T^{2k}(p)$ and $T^{2(k+1)}(p)$ alternate with respect to $W^{s}(p)$.

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★ Hence, the segment L joing these two points cuts $W^s(p)$.

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★ Applying the λ -Lemma, we can assume that for n big enough, $T^{2n}(L)$ is arbitrarily close to $W^{s}(p)$.

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enough, $T^{2n}(L)$ is arbitrarily close to $W^s(p)$.

 $T^{2n}(L) = W$, n = 629, is a good approximated unstable manifold.

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Figure: $T^{2n}(L) = W \approx W^u(p)$

From the data we obtain that the length of $T^{2n}(L)=W$ is $\approx 5.10^{-4}.$

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Search candidate to homoclinic point.

★ Divide W into ten thousand equal parts. The extreme points e_i of this partitionare to a distance $\approx 5.10^{-8}$.

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The homoclinic point is transverse

★ take the interval I_0 of the partition of W whose medium point is e_0 .

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★ take the interval I_0 of the partition of W whose medium point is e_0 .

★ Iterate ten times e_0 and the extreme points of I_0 . Store these data.

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The homoclinic point is transverse

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★ Iterate ten times e_0 and the extreme points of I_0 . Store these data.

★ Denote $T^{10}(I_0)$ by I_1 , repeat up to the 1258-iterate.



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Transverse

★ Denote by I_{m_0} this last iterate and write $I_{m_0} = [r, l]$. Observe that $(E = T^2)^{629}(e_0) \in I_{m_0}$. Consider the vectors: $V_r = \vec{pr}$ and $V_l = \vec{pl}$.

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Angle between V_r and $V_l \simeq 68^{\circ}$.



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Thus, numerically evidence of the existence of a homoclinic point.

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Thus, numerically evidence of the existence of a homoclinic point.

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- \star the entropy of the system is positive
- \star there are ∞ -many periodic points, etc.

Thus, numerically evidence of the existence of a homoclinic point.

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- \star the entropy of the system is positive
- \star there are ∞ -many periodic points, etc.
- Thus, numerically evidence that the system is chaotic.

A homoclinic point in $\Lambda\text{-I}.$



Figure:

A homoclinic point in Λ -II.



Figure: Iterates of a small segment at the homoclinic point

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- \blacklozenge Numerical simulations suggest that Λ is transitive.

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- Numerical simulations suggest that $\Lambda = \overline{W^u(p)}$.



Figure: Iterates of a small segment at $W^u(p)$

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• Basin of attraction $B^{s}(\Lambda)$ of Λ is simply connected.

♠ $T/B^s(\Lambda)$ is a diffeo and there is a fixed point $p \in \Lambda$ such that DT(p) has a *unique negative real* eigenvalue μ with $|\mu| > 1$ and all the other satisfies $0 < |\lambda_i| << 1$. Thus, there is a a neighborhood U, $p \in U$, such that T/U is sectionally dissipative.

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is Λ hyperbolic ?





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YES + codimension 1 hyperbolic splitting $(\dim(E^u) = 1)$

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 Λ is not hyperbolic.







YES + Λ not hyperbolic



is Λ sectionally dissipative ?

YES + Λ not hyperbolic

 $\Downarrow \mathsf{Pujals}\text{-}\mathsf{Sambarino}$

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YES + Λ not hyperbolic

 \Downarrow Pujals-Sambarino

 \exists sectionally dissipative tangency \implies sinks are created (Palis-Viana)



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 Λ is not robustly transitive.



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YES + not sect. dissipative + sect. dissipative near p

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is Λ robustly transitive ?

 $\begin{array}{l} \mathsf{YES} + \mathsf{not} \; \mathsf{sect.} \; \mathsf{dissipative} \; + \; \mathsf{sect.} \; \mathsf{dissipative} \; \mathsf{near} \; p \\ \\ \Downarrow \end{array}$

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 \Downarrow

 $T\Lambda = E_1 + \ldots + E_{k-1} + E^u$, $\dim(E^u) = 1 + B^s(\Lambda)$ simp. connect.

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 \Downarrow (Contradicts Diaz-Pujals-Ures)

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 $T\Lambda = E_1 + \ldots + E_{k-1} + E^u$, $\dim(E^u) = 1 + B^s(\Lambda)$ simp. connect.

 \Downarrow (Contradicts Diaz-Pujals-Ures)

 Λ is not robustly transitive.

These analysis suggest that any "robustness" of the attractor, has to hold in the framework of non uniform hyperbolicity.

Thus, the propose to future work is:



Since $\dim(E^u) = 1$, on the light of the Henon attractor, we would like to obtain a 1-dimensional dynamics, adding hipotheses on the probability of surviving S(a): instead of decreasing linearly, decreasing super fast.

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Thus, the evolution would have a strong influence of the nearby generation that can procreate (age $\approx A_0$), followed by a much smaller influence on subsequent generations.

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Verify that the one-dimensional map that arises for $A_0 = 0.18$, $\rho = 0.30$, $\gamma = 8.25$, and S(a) as above, is transitive and good enough to push the analysis to the *n*-dimensional case.

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Many thanks to the audience





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